

United Kingdom Investors Statutory Notice

E1 Asset Management generally likes to conduct its foreign business with companies and institutions. However, you have expressed a desire to open an account as an individual. Please be aware and understand the terms listed below:

E1 Asset Management initially contacted you in your capacity as a director, officer or employee of an entity of a type we are permitted by UK law to approach and whose responsibilities within that role in that entity permit us to approach you individually. Upon making this contact you expressed a personal interest in our services and requested more information about those services.

After that initial contact with us, you received information about E1 and were invited to inform us if you did not wish to continue our relationship. You were also informed that in becoming a customer of E1, and in your dealings with us:

- (a) the protections conferred by or under the UK Financial Services and Markets Act 2000 (the “Act”) would not apply as a result of our unsolicited communication to you;
- (b) the protections conferred by or under the Act may not apply to investment activity you conduct through us as a result of that communication; and
- (c) that transactions between us resulting from that communication would not fall within the jurisdiction of any UK dispute resolution or compensation scheme including the Financial Ombudsman Service.

Following receipt of that information, and having been given a proper opportunity to consider it, you have decided to become a customer of E1 and you have provided us with sufficient information as to your financial standing, level of investment experience and investment objectives for us to have made an assessment that you are sufficiently knowledgeable to understand the risks associated with using our agency broking services. Accordingly we have sent to you with this notice account opening documentation and now require you by signing and returning to us a copy of this notice to acknowledge that:

- (i) you understand the warnings concerning loss of protections set out in (a) to (c) above and that you accept that you will not benefit from them;
- (ii) you are aware of the risks associated with investing in the US market; and
- (iii) you are aware that any dispute or claim that may arise in the course of this relationship must be handled under the oversight of the Financial Industry Regulatory Authority (www.finra.org).

Acknowledged, Agreed and Signed by:

_____ Date

Account Number

For Office Use Only

Accepted as a client by:

Ron Itin, Chief Compliance Officer

Date